Chapter 4 Perinatal Care

400 Natality Statistics

Mississippi experienced 37,928 live births in 2016. Of these live births, 51.2 percent (19,416) were white non-Hispanic, 41.8 percent (15,868) were black non-Hispanic, 2.6 percent (976) were other non-Hispanic and 4.4 percent (1,665) were Hispanic. A physician attended 97.8 percent of all in-hospital live births delivered in 2016 (37,928). Nurse midwife deliveries accounted for 735 live births.

More than 99 percent of the live births occurred to women 15 to 44 years of age. Births to unmarried women made up 53.2 percent (20,176) of all live births in 2016; of these, 65.6 percent (12,645) were to black women and 32.4 percent (6,250) were to white women and 4.4 percent (883) were to Hispanic women. Mothers under the age of 15 gave birth to 52 children; 67.3 percent (35) were black and 21.2 percent (11) were white and 5.8 (3) percent were Hispanic, and 5.8 (3) percent were other.

The birth rate in 2016 was 12.7 live births per 1,000 population; the fertility rate was 63.5 live births per 1,000 women aged 15-44 years.

Mississippi reported 401 fetal deaths in 2016. The black fetal death ratio, which is the number of deaths per live births to mothers in the specified age group, was more than two times that of whites, with a ratio of 16.7 per 1,000 live births compared to 6.0 for whites. Mothers aged 40 and older, had the highest fetal death ratio at 38.8 per 1,000 live births, followed by mothers aged, 24-29 with a ratio of 11.9. MSDH requires the reporting of fetal deaths with gestation of 20 or more weeks or fetal weight of 350 grams or more. MSDH does not report fetal death rates for an age group if there are less than 100 births.

There were 14 maternal deaths reported during 2016. Maternal mortality refers to deaths resulting from complications of pregnancies, childbirth, or the puerperium within 42 days of delivery.

401 Infant Mortality

Infant mortality remains a critical concern in Mississippi. There was a decline in the infant mortality rate to 8.6 in 2016 from 9.2 in 2015. Table 4-1 shows the infant mortality rate, neonatal, and postneonatal mortality for blacks all substantially above the rates for whites and Hispanics. (Note: 2016 vital statics data is the most recent available.)

Table 4-1 2016 Mortality Rates (deaths per 1,000 live births)

Category	Overall State Rate	White Rate	Black Rate	Hispanic Rate
Total Infant Mortality (age under one year)	8.6	7.2	11.5	1.8
Neonatal Mortality (age under 28 days)	5.3	4.0	7.6	1.2
Postneonatal Mortality (age 28 days to one year)	3.3	3.2	3.9	0.6

Table 4-2 displays Mississippi's infant mortality rates from 2003 to 2016, along with the rates for Region IV and for the United States. Map 4-1 shows the five-year average infant mortality rate by county for the period 2012 to 2016.

Table 4-2 Infant Mortality Rates Mississippi, Region IV and USA – All Races 2003-2016

Year	Mississippi	Region IV	USA
2016	8.6	N/A	N/A
2015	9.2	N/A	5.9
2014	8.2	N/A	5.9
2013	9.7	N/A	5.9
2012	8.9	N/A	6
2011	9.4	N/A	N/A
2010	9.6	N/A	N/A
2009	10	N/A	N/A
2008	9.9	7.8	6.6
2007	10	8.0	6.8
2006	10.5	8.1	6.7
2005	11.4	8.1	6.9
2004	9.7	8.1	6.8
2003	10.7	8.2	6.9

N/A – Not Available

Source: Office of Health Informatics, Mississippi State Department of Health 2018

Many factors contribute to Mississippi's high infant mortality rate including: a high incidence of preterm birth, teenage pregnancy, low birthweight, lack of education, socioeconomic status, lack of access for planned delivery services, and lack of adequate perinatal and acute medical care.

More than 97 percent of expectant mothers received some level of prenatal care in 2016. More than 70 percent (28,832) of mothers began prenatal care in the first trimester; 22.0 percent (6,592) began in the second trimester, and 6.7 percent (1,398) during the third trimester. Only 1.7 percent (495) of expectant mothers received no prenatal care prior to delivery. White mothers usually receive prenatal care much earlier in pregnancy than black mothers.

In 2016, 11.5 percent of births were low birthweight (less than 5.5 pounds – 2,500 grams) and 13.6 percent were premature (gestational age less than 37 weeks). These indicators differ markedly by maternal race: 8.3 percent of white births were low birthweight compared to 15.9 percent for blacks. The low birthweight rate for Hispanics was 7.6 percent. The premature birth rate was 10.4 percent for Hispanics, 11.6 percent for whites and 16.6 percent for blacks.

A total of 3,378 Mississippi teenagers gave birth in 2016 — 8.9 percent of the state's 37,928 live births. Teenage births increased each year from 2005 until 2008. The year 2016 saw a 1.9 percent increase from the 3,611 births recorded to teenagers in 2015. Teen pregnancy is cited as one of the major factors contributing to the school dropout rate. In addition, teenage mothers are more likely to be single parents, less likely to get prenatal care before the second trimester, are at a higher risk of having low birthweight babies, are more likely to receive public assistance, are at a greater risk to commit abuse or neglect, and are more likely to have children who will themselves become teen parents. Consequently, in 2016, 12.4 percent of teenage births were low birthweight and 12.6 percent were premature.

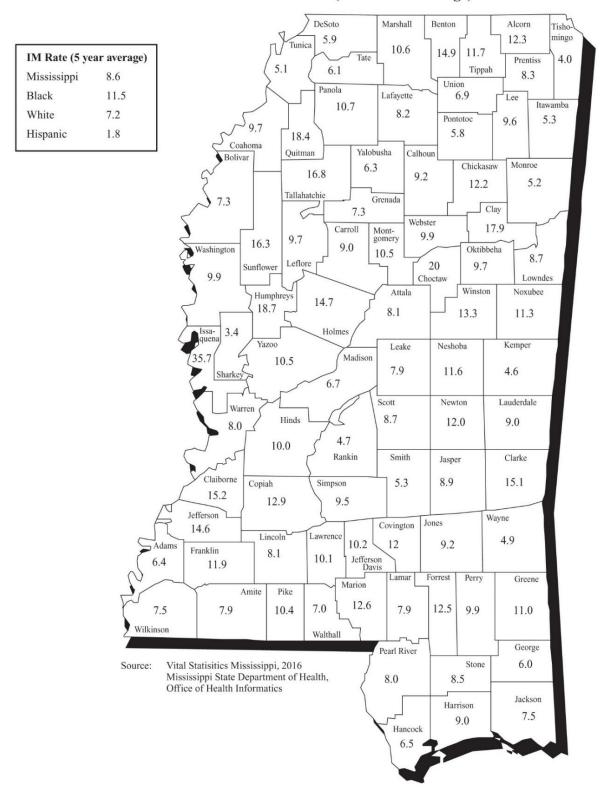
Of the 37,928 total births in 2016, 29,257 were associated with "at risk" mothers (77.1 percent). "At risk" factors include mothers who are and/or have:

- under 17 years of age or above 35 years of age;
- unmarried;
- completed fewer than eight years of school;
- had fewer than five prenatal visits;
- begun prenatal care in the third trimester;
- had previous terminations of pregnancy; and/or
- a short inter-pregnancy interval (prior delivery within 11 months of conception for the current pregnancy).

402 Physical Facilities for Perinatal Care

The 55 hospitals that experienced live births reported 36,930 deliveries. Three of these hospitals reported more than 2,000 obstetrical deliveries each in Fiscal Year 2016, accounting for 6,740 deliveries or 18.3 percent of the state's total hospital deliveries: Forest General Hospital with 2,320 deliveries, North Mississippi Medical Center with 2,236 deliveries, and the University of Mississippi Medical Center with 2,191 deliveries. These hospitals with a large number of deliveries are strategically located in north, central and south Mississippi. Map 4-2 shows the Perinatal Planning Areas.

Map 4-1 Infant Mortality Rates by County of Residence 2012 to 2016 (Five – Year Average)



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Table 4-3 Utilization Data for Hospitals with Obstetrical Deliveries FY 2015 and FY 2016

F 1 2013 at	na F Y 2016		
Facility	County	Number of Deliveries 2015	Number of Deliveries 2016
University of Mississippi Medical Center	Hinds	2,147	2,191
Forrest General Hospital	Forrest	2,332	2,320
North Mississippi Medical Center	Lee	2,131	2,236
Baptist Memorial Hospital-DeSoto	DeSoto	1,647	1,678
Merit Health River Oaks	Rankin	1,542	1,479
St. Dominic-Jackson Memorial Hospital	Hinds	1,472	1,560
Merit Health Wesley	Lamar	1,257	1,259
Merit Health Woman's Hospital	Rankin	1,126	1,108
Memorial Hospital at Gulfport	Harrison	1,450	1,450
Anderson Regional Medical Center	Lauderdale	1,263	1,215
Baptist Memorial Hospital - Union County	Union	940	1,020
Mississippi Baptist Medical Center	Hinds	1,756	1,706
Rush Foundation Hospital	Lauderdale	985	964
Baptist Memorial Hospital-Golden Triangle	Lowndes	932	935
OCH Regional Medical Center	Oktibbeha	888	930
Baptist Memorial Hospital - North Miss	Lafayette	945	875
South Central Regional Medical Center	Jones	904	923
Merit Health Northwest Mississippi	Coahoma	790	633
Ocean Springs Hospital	Jackson	838	800
Delta Regional Medical Center-Main Campus	Washington	726	715
Merit Health of Biloxi	Harrison	839	882
Southwest Mississippi Regional Medical Center	Pike	764	740
Merit Health River Region	Warren	709	628
Merit Health Central	Hinds	731	642
University of MS Medical Center Grenada	Grenada	410	435
King's Daughters Medical Center-Brookhaven	Lincoln	596	627
Merit Health Madison	Madison	300	309
Merit Health Natchez	Adams	181	857
Merit Health Natchez- Community Campus**	Adams	721	0
Magnolia Regional Health Center	Alcorn	702	676
Merit Health Gilmore Memorial	Monroe	610	634
Singing River Hospital	Jackson	624	631
Greenwood Leflore Hospital	Leflore	544	495

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Table 4-3 Utilization Data for Hospitals with Obstetrical Deliveries FY 2015 and FY 2016 (continued)

I I ZVIS unu I I ZV		Number of	Number of
Facility	County	Deliveries 2015	Deliveries 2016
Garden Park Medical Center	Harrison	413	445
Bolivar Medical Center	Bolivar	389	369
North Miss Medical Center-West Point	Clay	360	319
Highland Community Hospital	Pearl River	315	291
Magee General Hospital	Simpson	277	36
South Sunflower County Hospital	Sunflower	194	199
Methodist Olive Branch Hospital	Desoto	296	371
Hancock Medical Center	Hancock	225	192
Wayne General Hospital	Wayne	215	192
George County Regional Hospital	•	258	282
North MS Medical Center- West Point	George Clay	360	319
	Harrison		371
81 Medical Group	Rankin	391	
Merit Health Rankin		2	0
Merit Health Batesville	Panola	239	272
Baptist Medical Center-Leake	Leake	2	3
Marion General Hospital	Marion	1	1
Baptist Medical Center - Attala	Atalla	0	2
Laird Hospital	Newton	0	2
Covington County Hospital	Covington	1	0
Scott Regional Hospital	Scott	1	1
Field Memorial Hospital	Wilkinson	0	2
Baptist Medical Center- Yazoo	Yazoo	2	3
H.C Watkins Memorial Hospital	Clarke	0	1
Jefferson County Hospital	Jefferson	1	0
Lawrence County Hospital	Lawrence	2	1
Choctaw Health Center	Choctaw	1	2
Pioneer Community Hospital of Choctaw	Choctaw	0	1
North Miss Medical Center- Iuka	Tishomingo	1	0
North Miss Medical Center - Eupora	Webster	1	0
North Oak Regional Medical Center	Tate	0	1
North Sunflower County Hospital	Sunflower	1	0
Tallahatchie General Hospital	Tallahatchie	1	0
Hardy Wilson Memorial Hospital	Copiah	1	0
Perry County General Hospital	Perry	1	0
University of MS Medical Center-Holmes	Holmes	1	2
Sharkey-Issaquena Community Hospital	Sharkey	1	0
S.E. Lackey Memorial Hospital	Scott	1	2
Neshoba County General Hospital	Neshoba	1	0
Noxubee General Hospital	Noxubee	0	1
Stone County Hospital	Marion	0	1
Total		37,757	37,248

Sources: Mississippi State Department of Health, Office of Health Informatics

^{*} N/A – Denotes facility not reported on birth certificates sent to MSDH for the year. ** Facility closed in 2015.

403 Certificate of Need Criteria and Standards for Obstetrical Services

Note: Should the Mississippi State Department of Health receive a Certificate of Need application regarding the acquisition and/or otherwise control of major medical equipment or the provision of a service for which specific CON criteria and standards have not been adopted, the application shall be deferred until the Department of Health has developed and adopted CON criteria and standards. If the Department has not developed CON criteria and standards within 180 days of receiving a CON application, the application will be reviewed using the general CON review criteria and standards presented in the *Mississippi Certificate of Need Review Manual* and all adopted rules, procedures, and plans of the Mississippi State Department of Health.

403.01 Policy Statement Regarding Certificate of Need Applications for the Offering of Obstetrical Services

- 1. An applicant is required to provide a reasonable amount of indigent/charity care as described in Chapter 1 of this Plan.
- 2. Perinatal Planning Areas (PPA): MSDH shall determine the need for obstetrical services using the Perinatal Planning Areas as outlined on Map 4-2 at the end of this chapter.
- 3. Travel Time: Obstetrical services should be available within one (1) hour normal travel time of 95 percent of the population in rural areas and within 30 minutes normal travel time in urban areas.
- 4. Preference in CON Decisions: The MSDH shall give preference in CON decisions to applications that propose to improve existing services and to reduce costs through consolidation of two basic obstetrical services into a larger, more efficient service over the addition of new services or the expansion of single service providers.
- 5. Patient Education: Obstetrical service providers shall offer an array of family planning and related maternal and child health education programs that are readily accessible to current and prospective patients.
- 6. Levels of Care: All hospitals providing obstetric and newborn services will be designated a perinatal level of care by MSDH, based upon its functional capabilities to provide risk-appropriate care for pregnant women and neonates. The levels of care will be divided into four levels defined in accordance with the 2012 policy statement by the American Academy of Pediatrics, (PEDIATRICS Vol. 130, No. 3, September 2012) and maternal standards set forth by the American College of Obstetricians and Gynecologists with modifications approved by MSDH. The levels are:

<u>Level I-</u> Basic Care, Well newborn nursery

Level II- Specialty Care, Special care nursery

Level III- Sub-specialty Care, Neonatal Intensive Care Unit

Level IV- Regional Care

Details of the levels are outlined in section 405.03 of the State Health Plan.

- 7. An applicant proposing to offer obstetrical services shall be equipped to provide perinatal services in accordance with the guidelines contained in the Minimum Standards of Operation for Mississippi Hospitals § 130, Obstetrics and Newborn Nursery. All hospitals offering obstetric and newborn care shall conform to the practice guidelines of the American Academy of Pediatrics, Policy Statement, Levels of Care and professional standards established in the Guidelines for the Operations of Perinatal Units.
- 8. An applicant proposing to offer obstetrical services shall agree to provide an amount of care to Medicaid mothers/babies comparable to the average percentage of Medicaid care offered by other providers of the requested service within the same, or most proximate, geographic area.

403.02 Certificate of Need Criteria and Standards for Obstetrical Services

The Mississippi State Department of Health will review applications for a Certificate of Need to establish obstetric services under the statutory requirements of Sections 41-7-173, 41-7-191, and 41-7-193, Mississippi Code of 1972, as amended. MSDH will also review applications for Certificate of Need according to the general criteria listed in the *Mississippi Certificate of Need Review Manual*; all adopted rules, procedures, and plans of the Mississippi State Department of Health; and the specific criteria and standards listed below.

The establishment or expansion of Level I- basic or Level II- specialty perinatal services shall require approval under the Certificate of Need statute if the \$2,000,000 capital expenditure threshold is crossed. Any hospital proposing to establish or expand existing services to become a Level III-subspecialty or Level IV-regional perinatal center shall require approval under the Certificate of Need statute.

Provision for individual units should be consistent with the regionalized perinatal care system involved. Those facilities desiring to provide obstetric services shall meet the Basic facility minimum standards as listed under *Guidelines for the Operation of Perinatal Units* found at the end of this chapter.

Need Criterion 1: Minimum Procedures

The application shall demonstrate how the applicant can reasonably expect to deliver a minimum of 150 babies the first full year of operation and 250 babies by the second full year. In this demonstration, the applicant shall document the number of deliveries performed in the proposed perinatal planning area (as described in Section 403.01, policy statement 2, by hospital).

Need Criterion 2: Perinatal Services

The application shall document that the facility will provide one of the three types of perinatal services: Basic, Specialty, or Subspecialty.

Need Criterion 3: Staffing Requirements

The facility shall provide full-time nursing staff in the labor and delivery area on all shifts. Nursing personnel assigned to nursery areas in Basic Perinatal Centers shall be under the direct supervision of a qualified registered nurse with extra training such as Neonatal Resuscitation Program (NRP) certification and the S.T.A.B.L.E program.

Need Criterion 4: Policies

Any facility proposing the offering of obstetrical services shall have written policies delineating responsibility for immediate newborn care, resuscitation, transfer to higher-level of care, selection and maintenance of necessary equipment, and training of personnel in proper techniques.

Need Criterion 5: Staff Required for Medical Emergency

The application shall document that the nurse, anesthesia, neonatal resuscitation, and obstetric personnel required for emergency cesarean delivery shall be in the hospital or readily available at all times.

Need Criterion 6: Travel Time

The application shall document that the proposed services will be available within one (1) hour normal driving time of 95 percent of the population in rural areas and within 30 minutes normal driving time in urban areas.

Need Criterion 7: Transfer of Patients in Medical Emergency

The applicant shall affirm that the hospital will have protocols for the transfer of medical care of the neonate in both routine and emergency circumstances.

Need Criterion 8: Data Requirements

The application shall affirm that the applicant will record and maintain, at a minimum, the following information regarding charity care and care to the medically indigent and make it available to the Mississippi State Department of Health within 15 business days of request:

- a. source of patient referral;
- b. utilization data, e.g., number of indigent admissions, number of charity admissions, and inpatient days of care;
- c. demographic/patient origin data;
- d. cost/charges data; and
- e. Any other data pertaining directly or indirectly to the utilization of services by medically indigent or charity patients, which the Department may request.

Need Criterion 9: Non-Discrimination Provision

The applicant shall document that within the scope of its available services, neither the facility nor its participating staff shall have policies or procedures, which would exclude patients because of race, age, sex, ethnicity, or ability to pay.

404 Certificate of Need Criteria and Standards for Neonatal Special Care Services

Note: Should the Mississippi State Department of Health receive a Certificate of Need application regarding the acquisition and/or otherwise control of major medical equipment or the provision of a service for which specific CON criteria and standards have not been adopted, the application shall be deferred until the Department of Health has developed and adopted CON criteria and standards. If the Department has not developed CON criteria and standards within 180 days of receiving a CON application, the application will be reviewed using the general CON review criteria and standards presented in the *Mississippi Certificate of Need Review Manual* and all adopted rules, procedures, and plans of the Mississippi State Department of Health.

404.01 Policy Statement Regarding Certificate of Need Applications for the Offering of Neonatal Special Care Services

- 1. An applicant is required to provide a reasonable amount of indigent/charity care as described in Chapter 1 of this Plan.
- 2. <u>Perinatal Planning Areas (PPA):</u> MSDH shall determine the need for neonatal special care services using the Perinatal Planning Areas as outlined on Map 4-2 at the end of this chapter.
- 3. <u>Bed Limit</u>: The total number of neonatal special care beds is not to exceed eight (8) per 1,000 live births in a specified PPA as defined below:
 - a. Two (2) intensive care beds per 1,000 live births; and
 - b. Six (6) intermediate care beds per 1,000 live births.
- 4. <u>Size of Facility</u>: A single neonatal special care unit (Subspecialty) Level 3 or greater facility should contain a minimum of 15 beds.
- 5. <u>Levels of Care</u>: MSDH shall determine the perinatal level of care designation of the facility based upon its functional capabilities to provide risk-appropriate care for pregnant women and neonates. Facilities shall be designated as one of four levels of care as outlined in Section 405.03 of the State Health Plan.

<u>Level I-</u> Basic Care, Well newborn nursery

<u>Level II</u>- Specialty Care, Special care nursery

Level III- Sub-specialty Care, Neonatal Intensive Care Unit

Level IV- Regional Care

6. An applicant proposing to offer neonatal special care services shall agree to provide an amount of care to Medicaid babies comparable to the average percentage of Medicaid care offered by other providers of the requested services.

404.02 Certificate of Need Criteria and Standards for Neonatal Special Care Services

The Mississippi State Department of Health will review applications for a Certificate of Need to establish neonatal special care services under the statutory requirements of Sections 41-7-173, 41-7-191, and 41-7-193, Mississippi Code of 1972, as amended. The MSDH will also review applications for Certificate of Need according to the general criteria listed in the *Mississippi Certificate of Need Review Manual*; all adopted rules, procedures, and plans of the Mississippi State Department of Health; and the specific criteria and standards listed below.

All neonatal intensive care units providing subspecialty care are reviewable under the Certificate of Need law based upon the addition/conversion of hospital beds required to establish such units.

Those facilities desiring to provide neonatal special care services shall meet the capacity and levels of neonatal care for the specified facility (Specialty, Subspecialty or Regional) as outlined by the American Academy of Pediatrics, Policy Statement, Levels of Neonatal Care (PEDIATRICS Vol. 130, No. 3, September, 2012).

Need Criterion 1: Minimum Procedures

The application shall demonstrate that the Perinatal Planning Area (PPA) wherein the proposed services are to be offered had a minimum of 3,600 deliveries for the most recent 12-month reporting period. MSDH shall determine the need for neonatal special care services based upon the following:

- a. Two (2) neonatal intensive (subspecialty) care bed per 1,000 live births in a specified Perinatal Planning Area for the most recent 12-month reporting period; and
- b. Six (6) neonatal intermediate (specialty) care beds per 1,000 live births in a specified Perinatal Planning Area for the most recent 12-month reporting period.

Neonatal intensive care beds can only be housed within a hospital designated as a Level III facility. Neonatal intermediate or specialty care beds can be housed within either a Level II, Level III or Level IV facility.

Applications submitted by existing providers of neonatal special care services, which seek to expand capacity by adding or converting neonatal special care beds must document the need for the proposed project. The applicant shall demonstrate that the facility in question has maintained an occupancy rate for neonatal special care services of at least seventy percent (70%) for the most recent two (2) years or maintained an eighty percent (80%) neonatal special care services occupancy rate for the most recent year, notwithstanding the neonatal special care bed need outlined in Table 4-4 below. The applicant may be approved for additional or conversion of neonatal special care beds to meet projected demand balanced with optimum utilization rate for the Perinatal Planning Area.

Need Criterion 2: Minimum Bed Requirement for Single Neonatal Special Care Unit

A single neonatal special care unit (Subspecialty or Regional) that is Level 3 or greater should contain a minimum of 15 beds (neonatal intensive care and/or neonatal intermediate care). An adjustment downward may be considered for a specialty unit when travel time to an alternate unit is a serious hardship due to geographic remoteness.

Need Criterion 3: Travel Time

The application shall document that the proposed services will be available within one (1) hour normal driving time of 95 percent of the population in rural areas and within 30 minutes normal driving time in urban areas.

Need Criterion 4: Referral Networks

The application shall document that the applicant has established referral networks to transfer infants requiring more sophisticated care than is available in less specialized facilities.

Need Criterion 5: Data Requirement

The application shall affirm that the applicant will record and maintain, at a minimum, the following information regarding charity care and care to the medically indigent and make it available to the Mississippi State Department of Health within 15 business days of request:

- a. source of patient referral;
- b. utilization data e.g., number of indigent admissions, number of charity admissions, and inpatient days of care;
- c. demographic/patient origin data;
- d. cost/charges data; and
- e. any other data pertaining directly or indirectly to the utilization of services by medically indigent or charity patients which the Department may request.

Need Criterion 6: Non-Discrimination Provision

The applicant shall document that within the scope of its available services, neither the facility nor its participating staff shall have policies or procedures which would exclude patients because of race, age, sex, ethnicity, or ability to pay.

404.03 Neonatal Special Care Services Bed Need Methodology

The determination of need for neonatal special care beds/services in each Perinatal Planning Area will be based on eight (8) beds per 1,000 live births as defined below.

- 1. Two (2) neonatal intensive care beds per 1,000 live births in the most recent 12-month reporting period.
- 2. Six (6) neonatal intermediate care beds per 1,000 live births in the most recent 12-month reporting period.

Table 4-4 Neonatal Special Care Bed Need 2016

Perinatal Planning Areas	Number Live Births ¹	Neonatal Intensive Care Bed Need	Neonatal Intermediate Care Bed Need
PPA I	2,340	5	14
PPA II	3,955	8	24
PPA III	2,415	5	14
PPA IV	4,161	8	25
PPA V	9,715	19	58
PPA VI	2,387	5	14
PPA VII	2,235	4	13
PPA VIII	4,519	9	27
PPA IX	5,411	11	32
State Total	37,138	74	223

¹ 2016 Occurrence Data. Number of beds based upon births rounded to the nearest 1,000.
Sources: Mississippi State Department of Health, Division of Health Planning and Resource Development Calculations, 2016

Source: Bureau of Public Health Statistics

405 Guidelines for the Operation of Perinatal Units (Obstetrics and Newborn Nursery)

405.01 Organization

Obstetrics and newborn nursery services shall be under the direction of a member of the staff of physicians who has been duly appointed for this service and who has experience in maternity and newborn care.

There shall be a qualified professional registered nurse responsible at all times for the nursing care of maternity patients and newborn infants.

Provisions shall be made for pre-employment and annual health examinations for all personnel on this service.

Physical facilities for perinatal care in hospitals shall be conducive to care that meets the normal physiologic and psychosocial needs of mothers, neonates and their families. The facilities provide for deviations from the norm consistent with professionally recognized standards/guidelines.

The perinatal service should have facilities for the following components:

- 1. Antepartum care and testing
- 2. Fetal diagnostic services
- 3. Admission/observation/waiting
- 4. Labor
- 5. Delivery/cesarean birth
- 6. Newborn nursery
- 7. Newborn special care unit (Level II- Specialty)
- 8. Newborn Intensive Care Unit (Level III Subspecialty and Level IV –Regional care only
- 9. Recovery and postpartum care
- 10. Visitation

405.02 Staffing

The facility must be staffed to meet its patient care commitments based upon its designated level of care, consistent with the American Academy of Pediatrics, Policy Statement, Levels of Care and professional guidelines. Hospitals with Neonatal Intensive Care Units providing subspecialty care must include appropriately trained personnel (neonatologists, neonatal nurses, and respiratory therapists) and equipment to provide life support for as long as necessary.

405.03 Perinatal Levels of Care

Level 1- Basic Care, Well Newborn Nursery

Neonatal Guidelines

- 1. Provide neonatal resuscitation at every delivery.
- 2. Evaluate and provide postnatal care to stable term newborn infants.
- 3. Stabilize and provide care for infants born at 35-37 weeks gestation who remain physiologically stable.
- 4. Stabilize newborn infants who are ill and those born at less than 35 weeks gestation until transfer to a facility that can provide the appropriate level of care.
- 5. Maintain a staff of providers including pediatricians, family physicians, nurse practitioners with newborn training, registered nurses with newborn training including being current with Neonatal Resuscitation Program Certification and S.T.A.B.L.E.

Maternal Guidelines

- 1. Surveillance and care of all patients admitted to the obstetric service, with an established triage system for identifying high-risk patients who should be transferred to a facility that provides specialty or sub-specialty care.
- 2. Capability to begin an emergency cesarean delivery within 30 minutes of the decision to do so.
- 3. Mothers that are stable and likely to deliver before 35 weeks gestation or have a fetus that is likely to require specialty services and mothers who themselves are likely to require specialty services should be transferred prior to delivery, when possible.
- 4. Proper detection and supportive care of known maternal conditions and unanticipated maternal-fetal problems that occur during labor and delivery.
- 5. Care of postpartum conditions.
- 6. Maintain a staff of providers certified to perform normal and operative vaginal deliveries and cesarean sections including obstetricians and family physicians with advanced training in obstetrics, providers certified to perform normal vaginal

deliveries including certified nurse midwives, and registered nurses with training in labor and delivery, post-partum care or inpatient obstetrics.

Hospital Resources

- 1. Availability of anesthesia, radiology, ultrasound, blood bank and laboratory services available on a 24-hour basis.
- 2. Consultation and transfer agreement with specialty and/or subspecialty perinatal centers.
- 3. Parent-sibling-neonate visitation.
- 4. Data collection and retrieval.
- 5. Quality improvement programs, maximizing patient safety.

Level II- Specialty Care, Special Care Nursery

Neonatal Guidelines

- 1. Performance of all basic care services as described above.
- 2. Provide care for infants born at more than 32 weeks and weighing more than 1500g who have physiological immaturity or who are moderately ill with problems that are expected to resolve rapidly and are not anticipated to need subspecialty services on an urgent basis.
- 3. Provide care for infants convalescent care after intensive care.
- 4. Provide mechanical ventilation for brief duration (less than 24 hours) and/or continuous positive airway pressure.
- 5. Stabilize infants born before 32 weeks gestation and weighing less than 1500 grams until transfer to a Level III or Level IV neonatal intensive care facility.
- 6. Maintain a staff of providers including those listed in Basic Care plus pediatric hospitalists, neonatologist, and neonatal nurse practitioners.
- 7. Referral to a higher level of care for all infants when needed for pediatric surgical or medical subspecialty intervention.
- 8. Level II nurseries must have equipment (eg, portable x-ray machine, blood gas analyzer) and personal (eg, physicians, specialized nurses, respiratory therapists, radiology technicians and laboratory technicians) to provide ongoing care of admitted infants as well as to address emergencies.

Maternal Guidelines

- 1. Perform all basic maternal services listed above.
- 2. Mothers that are stable and likely to deliver before 32 weeks gestation or have a neonate that is likely to require sub-specialty services, or mothers who themselves are likely to require sub-specialty services should be transferred prior to delivery, when possible.
- 3. Access to maternal fetal medicine consultation and antenatal diagnosis technology including fetal ultrasound.

Level III- Sub-specialty Care/Neonatal Intensive Care Unit

Neonatal Guidelines

- 1. Provision of all Level I and Level II services.
- 2. Level III NICUs are defined by having continuously available personnel (neonatologists, neonatal nurses, and respiratory therapists) and equipment to provide life support for as long as necessary.
- 3. Provide comprehensive care for infants born less than 32 weeks gestation and weighing less than 1500 grams and infants born at all gestational ages and birth weights with critical illness.
- 4. Provide prompt and readily available access to a full range of pediatric medical subspecialists, pediatric surgical specialists, pediatric anesthesiologists or anesthesiologists with experience in neonatal surgical care and pediatric ophthalmologists, on site or by prearranged consultative agreements.
- 5. Provide a full range of respiratory support and physiologic monitoring that may include conventional and/or high-frequency ventilation and inhaled nitric oxide.
- 6. Perform advanced imaging with interpretation on an urgent basis, including computed tomography, MRI and echocardiography.
- 7. Social and family support including social services and pastoral care.
- 8. If geographic constraints for land transportation exist, the level III facility should ensure availability of rotor and fixed-wing transport services to transfer infants requiring subspecialty intervention from other regions and facilities.
- 9. Consultation and transfer agreements with both lower level referring hospitals and regional centers, including back-transport agreements.
- 10. Prompt diagnosis and appropriate referral of all conditions requiring surgical intervention. Major surgery should be performed by pediatric surgical specialists (including anesthesiologists with pediatric expertise) on–site within the hospital or at

a closely related institution, ideally in close geographic proximity if possible. Level III facilities should be able to offer complete care, management, and evaluation for highrisk neonates 24 hours a day. A neonatologist should be available either in-house or on-call with the capacity to be in-house in a timely manner, 24 hours a day.

- 11. Level III facilities should maintain a sufficient volume of infants less than 1500 grams to meet professionally accepted guidelines to achieve adequate experience and expertise.
- 12. Enrollment in the Vermont Oxford Network to report and monitor data regarding outcomes of infants born less than 32 weeks and weighing less than 1500 grams.
- 13. Participation in and evaluation of quality improvement initiatives.

Maternal Guidelines

- 1. Manage complex maternal and fetal illnesses before, during and after delivery.
- 2. Maintain access to consultation and referral to Maternal-Fetal Medicine specialists.

Level IV- Regional Care

Neonatal Guidelines

- 1. All level III capabilities listed above.
- 2. Located within an institution with the capability to provide surgical repair of complex congenital or acquired conditions.
- 3. Maintain a full range of pediatric medical subspecialists, pediatric surgical subspecialists and pediatric anesthesiologists at the site.
- 4. Facilitate transport and provide outreach education including community taught NRP and S.T.A.B.L.E. classes.

Maternal Guidelines

- 1. All level III capabilities listed above.
- 2. Maintain a full range of surgical and medical specialists including Maternal-Fetal Medicine specialists at the site.
- 3. Facilitate maternal transport and provide outreach education.

405.04 Perinatal Care Services

Antepartum Care

There should be policies for the care of pregnant patients with obstetric, medical, or surgical complications and for maternal transfer.

Intra-partum Services: Labor and Delivery

Intra-partum care should be both personalized and comprehensive for the mother and fetus. There should be written policies and procedures in regard to:

- 1. Assessment
- 2. Admission
- 3. Medical records (including complete prenatal history and physical)
- 4. Consent forms
- 5. Management of labor including assessment of fetal well-being:
 - a. Term patient
 - b. Preterm patients
 - c. Premature rupture of membranes
 - d. Preeclampsia/eclampsia
 - e. Third trimester hemorrhage
 - f. Pregnancy Induced Hypertension (PIH)
- 6. Patient receiving oxytocics or tocolytics
- 7. Patients with stillbirths and miscarriages
- 8. Pain control during labor and delivery
- 9. Management of delivery
- 10. Emergency cesarean delivery (capability within 30 minutes)
- 11. Assessment of fetal maturity prior to repeat cesarean delivery or induction of labor
- 12. Vaginal birth after cesarean delivery
- 13. Assessment and care of neonate in the delivery room
- 14. Infection control in the obstetric and newborn areas

- 15. A delivery room shall be kept that will indicate:
 - a. The name of the patient
 - b. Date of delivery
 - c. Sex of infant
 - d. Apgar
 - e. Weight
 - f. Name of physician
 - g. Name of person assisting
 - h. What complications, if any, occurred
 - i. Type of anesthesia used
 - j. Name of person administering anesthesia
- 16. Maternal transfer
- 17. immediate postpartum/recovery care
- 18. Housekeeping

Newborn Care

There shall be policies and procedures for providing care of the neonate including:

- 1. Immediate stabilization period
- 2. Neonate identification and security
- 3. Assessment of neonatal risks
- 4. Cord blood, Coombs, and serology testing
- 5. Eye care
- 6. Subsequent care
- 7. Administration of Vitamin K
- 8. Neonatal screening
- 9. Circumcision
- 10. Parent education

- 11. Visitation
- 12. Admission of neonates born outside of facility
- 13. Housekeeping
- 14. Care of or stabilization and transfer of high-risk neonates

Postpartum Care

There shall be policies and procedures for postpartum care of mother:

- 1. Assessment
- 2. Subsequent care (bed rest, ambulation, diet, care of the vulva, care of the bowel and bladder functions, bathing, care of the breasts, temperature elevation)
- 3. Postpartum sterilization
- 4. Immunization: RHIG and Rubella
- 5. Discharge planning

405.05 Hospital Evaluation and Level of Care Designation

MSDH maintains the authority to evaluate hospitals offering obstetric and newborn services and designate a level of care based upon its clinical services and capacity.

References

American Academy of Pediatrics, Committee on Fetus and Newborn and American College of Obstetricians and Gynecologists Committee on Obstetric Practice, Edited by Kilpatrick, S, Papile, L., Macones, G. Guidelines for Perinatal Care, 8th Edition, Published 2017

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Map 4-2 Perinatal Planning Area

